



SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Monga

Atty Dkt: 2204/A97

Serial No: Not yet assigned

Date: August 15, 2001

Date Filed: Herewith

Invention: System, Device, and Method for Managing Connection Quality in an Optical Communication System

Commissioner for Patents
Washington, DC 20231LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

- DCP AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
- AB ✓ "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
- AC ✓ Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et. al., February 2000.
- AD ✓ Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
- AE ✓ "Architecture for the Automatic Switched Optical Network" First Draft of Gason, Mayer, September 13, 2000 - September 15, 2000.
- AF ✓ "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
- AG ✓ Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
- AH ✓ "ASON - Requirements at the Client API", Newsome, et. al., September 2000.
- AI ✓ "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
- AJ ✓ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: /David C. Payne/Date Considered: 09/17/2006

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Sheet 1 of 1

| | | | | | |
|--|---|----|---|------------------------|----------------------|
| Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Application Number | 09/930,095 |
| | | | | Filing Date | August 15, 2001 |
| | | | | First Named Inventor | MQNGA, Indermohan S. |
| | | | | Art Unit | 2633 |
| | | | | Examiner Name | Unassigned |
| Sheet | 1 | of | 1 | Attorney Docket Number | 14985BAUS01U |

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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| Substitute for form 1449A/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | Application Number | 09/830095 |
| | | Filing Date | 8/15/2001 |
| | | First Named Inventor | Monga |
| | | Art Unit | 2833 |
| | | Examiner Name | |
| Sheet | 1 | of | 1 |
| Attorney Docket Number | | | 13072BAUS07U 120-177 |

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